

Kopimottaker
KIRKENS NØDHJELP/ NORWEGIAN
CHURCH AID
Postboks 7100 St. Olavs plass

0130 OSLO

Deres/ Dykkar ref./ Your ref.:

Vår ref./ Our ref.:

2000516-30

Dato/ Date:

02.07.2021

Vår saksbeh./ Enquiries:

Gunn-Eva
Nordheim

Claes Book

ETH-21/0006 Grant Notification Letter to Norwegian Church Aid**ETH-21/0006 Grant Notification Letter Regarding "Ethiopia Interfaith Initiative 4 Forest Conservation & Climate Action"**

Reference is made to the agreement, ETH-21/0006 and to your application received 18.05.2020 regarding support to the project "Ethiopia Interfaith Initiative 4 Forest Conservation & Climate Action". Norad is pleased to inform you that we find the proposal acceptable and have decided to support the proposal with a grant not exceeding NOK 40 000 000 for the project for the period 2021-2025. The tentative annual distribution of the additional grant will be NOK 8 000 000 in 2021, NOK 8 000 000 in 2022, NOK 8 000 000 in 2023, NOK 8 000 000 in 2024 and NOK 8 000 000 in 2025.

The decision to support Norwegian Church Aid with funding is in accordance with guidelines in the Parliamentary Bill 1 (Prop S1) (2019-2020), the Ministry of Climate and Environment's Allocation Letter 1 to Norad dated 24 February 2020, Norad's Principles for Support to Civil Society (2018) and current regulations for support to civil society actors.

The support is granted on the condition that an agreement is signed by the grant recipient and Norad. Attached is the agreement with Norad's signature.

Considering the current Covid-19 restrictions, Norad has used a scanned signature, and can process the first disbursement request based on a countersigned agreement similarly using a scanned signature. Please return a signed and scanned version of the documents to postmottak@norad.no with cc to gunn-eva.nordheim@norad.no as soon as possible.

Prior to the second disbursement however, we do require a physical, signed and initialed version. We kindly ask you to print the attached Grant Agreement documents, initial all pages and sign the hard copy of the documents before returning one full original copy to Norad by post/courier using the following postal address:

Norad

Department for Climate, Energy and Environment
P.O. Box 8034 Dep
0030 Oslo
Norway

Grants beyond the current calendar year are subject to Parliamentary appropriations. As there is some uncertainty pertaining to the Norwegian Parliament's future appropriations over the relevant budget line, we emphasize that the total grant amount is tentative. Annual grants for the years 2022-2025 will be confirmed as part of approval of annual plan and budget, after the Parliament has adopted the state budget and Norad has received Allocation Letter 1 from the Ministry of Climate and Environment for the financial year. In case of significant reductions in the relevant budget line, Norad may reduce both annual amounts and total grants, and will thus have to request that plans and budgets be revised accordingly.

In accordance with what is stated in the attached agreement, the grant recipient is responsible to Norad for the grant to be used in accordance with the conditions set out in the Agreement. Please take special note of clause 5.9-5.11 and 11.1-11.5 regarding transfer of grants to cooperating partners. In line with the guidelines which apply to Norad's grant schemes, including zero tolerance for corruption and other financial fraud, funding that have been misused or cannot be accounted for in a satisfactory manner is required to be refunded in whole or in part (see <https://www.norad.no/en/front/about-norad/whistleblowing/>)

Please state the agreement number in all inquiries and all correspondence regarding the grant, including disbursement requests and the repayment of unused funds.

The decision can be appealed to the Ministry of Climate and Environment in accordance with Chapter VI of the Public Administration Act. The complaint must be submitted to Norad in writing within three weeks from the date of notification of the decision received. The grant recipient is entitled to access the documents in the case in accordance with the Public Administration Act §§ 18-19. Any complaint must be justified.

Please do not hesitate to contact us should you have any questions.

We look forward to our continued partnership!

Yours sincerely,

Hilde Dahl

Coordinator of Climate and Forest Projects

Gunn-Eva Nordheim

Advisor

Dokumentet er elektronisk godkjent og krever ikke signatur.

Liste over kopimottakere/ Recipient(s) in copy:

Seksjon for klima, skog og grønn økonomi (GRØNN) - Hilde Dahl

Seksjon for klima, skog og grønn økonomi (GRØNN) - Ingelin Årseth Ladsten

KIRKENS NØDHJELP/ NORWEGIAN CHURCH AID - Siv Øystese

Template:	ALL NGOs	MFA Revision no.:	3
Specific Conditions (part I)	Grant Management Regime I Adapted for KOS by JUR, 03/2021	MFA Date:	21.10.2019

GRANT AGREEMENT

between

The Norwegian Agency for Development Cooperation

and

Norwegian Church Aid

regarding

NCA – NICFI 2021-25: Ethiopia Interfaith Initiative 4 Forest Conservation
& Climate Action, ETH-21/0006

PART I: SPECIFIC CONDITIONS

PART II: GENERAL CONDITIONS

PART III: PROCUREMENT PROVISIONS

ANNEX A: BUDGET

ANNEX B: RESULTS FRAMEWORK

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TABLE OF CONTENTS

1.	SCOPE AND BACKGROUND.....	3
2	OBJECTIVES OF THE PROJECT	3
3	IMPLEMENTATION OF THE PROJECT.....	4
4	THE GRANT	4
5	DISBURSEMENT	5
6	REPORTING AND OTHER DOCUMENTATION.....	6
7	AUDIT.....	7
8	FORMAL MEETINGS	7
9	REVIEWS AND OTHER FOLLOW-UP MEASURES	7
10	PROCUREMENT	7
11	REPAYMENT OF INTEREST AND UNUSED FUNDS	8
12	SPECIAL PROVISIONS	8
13	NOTICES	8
14	SIGNATURES	9



PART I: SPECIFIC CONDITIONS

This grant agreement (the Agreement) has been entered into between:

- (1) The Norwegian Agency for Development Cooperation (Norad), represented by the Department for Climate, Energy and Environment, and
- (2) Norwegian Church Aid, a non-governmental organisation duly established in Norway under registration number 951 434 353 (the Grant Recipient), jointly referred to as the Parties.

1 SCOPE AND BACKGROUND

- 1.1 The Grant Recipient has submitted an application to Norad dated 18.05.21 (the Application) regarding financial support to the NCA – NICFI 2021-25: Ethiopia Interfaith Initiative 4 Forest Conservation & Climate Action, ETH-21/0006 (the Project). The estimated costs of the Project are indicated in the budget attached as Annex A to this Agreement.
- 1.2 Norad has decided to award a grant to be used exclusively for the implementation of the Project (the Grant). The Parties expect the Project to be implemented during the period from July, 2021 to December, 2025 (the Support Period).
- 1.3 The Parties have agreed to enter into an Agreement, consisting of this part I; Specific Conditions, part II; General Conditions, and part III; Procurement Provisions, all of which form an integral part of this Agreement. In the event of discrepancies between the Specific Conditions and the General Conditions or Procurement Provisions, the Specific Conditions shall prevail.

2 OBJECTIVES OF THE PROJECT

- 2.1 The Project shall contribute to the following overarching NIFCI outcome(s):

NICFI Outcome 1. Approved and implemented policies for sustainable forest and land use in tropical forest countries and jurisdictions.

NICFI Outcome 2. Improved rights and livelihoods for indigenous peoples and local communities in tropical forest countries:

- 2.2 The expected results of the Project are as follows:

The Project's expected effect on society (Impact) is to contribute to reversed and reduced loss of tropical forests.

The expected effects for the target group of the Project (Outcome) are:

1. Sacred forests are included into Ethiopian forest legislation and policies.
2. Faith leaders and faith communities have mobilized communities to respect and follow the theological rationale and government policy for forest protection.

3. Forests are conserved and green corridors are established.
4. Communities have improved livelihoods without adding pressure on the forest resources.
5. Project lessons and learnings shared and practiced at local and global level.

The intended target groups are local faith communities living in and around sacred forests.

- 2.3 The full results framework is included as Annex B to this Agreement. The Grant Recipient shall submit a revised results framework including baseline values for approval before the second disbursement is requested.

3 IMPLEMENTATION OF THE PROJECT

- 3.1 The Project shall be implemented in accordance with the Agreement, including all annexes, and the latest approved Application, including implementation plan and budget as well as any later amendments to the above documents which are approved by Norad.
- 3.2 During the implementation of the Project, the Grant Recipient shall exercise the necessary diligence, efficiency and transparency in line with sound financial management and best practise principles.
- 3.3 The Grant Recipient shall continuously identify, assess and mitigate any relevant risks associated with the implementation of the Project. The risk of any potential negative effects of the Project in the following cases (Cross-Cutting Issues) shall always be included in the risk management of the Project:
 - anti-corruption
 - climate and environment,
 - women's rights and gender equality, and
 - human rights (with a particular focus on participation, accountability and non-discrimination)
- 3.4 The Grant Recipient shall immediately inform Norad of any circumstances likely to hamper or delay the successful implementation of the Project.

4 THE GRANT

- 4.1 The Grant shall amount to maximum NOK 40 000 000 (Norwegian Kroner Forty Million).
- 4.2 Disbursement after the current calendar year is subject to Norwegian Parliamentary appropriations. Significant reductions in the Parliament's annual allocation to the relevant budget line may lead to a reduction in annual Grant allocations and/or in the total Grant amount. The annual Grant allocations must be confirmed by Norad following the Parliament's approval of the state budget for the relevant budget year. If the Grant amount is reduced the Grant Recipient must revise the implementation plan, budget and results framework correspondingly.

The tentative, annual distribution of the Grant will be as follows:

2021: NOK 8 000 000

2022: NOK 8 000 000

2023: NOK 8 000 000

2024: NOK 8 000 000

2025: Up to the remaining amount

- 4.3 The Grant, including accrued interest, shall be used exclusively to finance the actual costs of the implementation of the Project during the Support Period.
- 4.4 The Grant may be used to cover overheads/indirect costs up to a maximum of 7% of Norad's pro rata share of the incurred direct costs of the Project.
- 4.5 The Grant Recipient is responsible for obtaining any additional resources which may be required to duly implement the Project.
- 4.6 The Grant Recipient may apply for additional funding to the Project during the Support Period only upon written invitation from Norad.

5 DISBURSEMENT

- 5.1 The Grant shall be disbursed in advance instalments based on the financial need of the Project for the upcoming period, which shall not exceed six months. The disbursements shall be made upon Norad's receipt of written disbursement requests from the Grant Recipient, describing the financial need for the period in question. The second disbursement in the first year of the Project shall be subject to Norad's receipt and approval of an updated results framework and risk analysis, as per article 2.3.
- 5.2 Financial need refers to the budgeted expenditure for the upcoming period, minus any funds available to the Project from all other sources during the same period.
- 5.3 The financial need shall be documented through an updated financial statement for the Project and a reference to the latest approved implementation plan and budget.
- 5.4 The disbursement requests shall be signed by an authorised representative of the Grant Recipient. A confirmation that the Project is being implemented in accordance with the Agreement shall be included in the disbursement request.
- 5.5 All disbursements are conditional upon the Grant Recipient's continued compliance with the requirements of the Agreement, including the timely fulfilment of reporting obligations. Norad may withhold disbursements in accordance with article 17 of the General Conditions if it finds that the requirements of the Agreement have not been met. Except for the Project's first year, the first disbursement each year is subject to Norad's receipt and approval of the updated implementation plan and budget, while the second disbursement each year is subject to Norad's receipt and approval of the latest progress report and financial report.

- 5.6 The Grant Recipient shall have a separate bank account exclusively for grants from Norad. All disbursements will be made to the following bank account:

Name of the account: Kirkens Nødhjelp

Account no.: 15942285873

IBAN no.: NO69 1594 2285 873

Name and address of the bank: DNB PB 1600 Sentrum, 0021 Oslo, Norge

Swift/BIC code: DNBANOKK

Currency of the account: NOK

- 5.7 The Grant Recipient shall immediately acknowledge receipt of the funds in writing. The amount received shall be stated, as well as the date of receipt and the exchange rate applied.

6 REPORTING AND OTHER DOCUMENTATION

- 6.1 The following shall be submitted by the Grant Recipient to Norad:

- a) An annual **progress report** covering the period from January to December shall be submitted to Norad by 1 July each year. The progress report shall include the content specified in article 2 of the General Conditions. The Department for Civil Society and the Private Sector's standard reporting format shall be used.
 - b) An annual **financial report** covering the period from January to December shall be submitted to Norad by 1 July each year. The financial report shall include the content specified in article 3 of the General Conditions. The final financial report shall cover the entire Support Period and shall be submitted along with the final report referred to in article 6.1 f) of the Specific Conditions.
 - c) An **audit report** covering the annual financial statements of the Project shall be submitted to Norad by 1 July each year. The audit report shall comply with the requirements set out in article 7 of the Specific Conditions and article 5 of the General Conditions. The management letter (matters for governance attention) shall be attached to the audit report.
 - d) An updated **implementation plan and budget** covering the period from January to December shall be submitted to Norad by 1 November each year. The implementation plan and budget shall include the content listed in article 1 of the General Conditions. The Department for Civil Society and the Private Sector's standard formats shall be used.
 - e) The organisation wide **annual report and audit report** of the Grant Recipient shall be submitted to Norad for information by 1 July each year. If the auditor submits a management letter (matters for governance attention) this shall be attached to the audit report.
 - f) A **final report** for the Support Period shall be submitted to Norad no later than six months after the end of the Support Period. The final report shall include the content listed in article 4 of the General Conditions. The Department for Civil Society and the Private Sector's reporting format shall be used.
- 6.2 If the Grant Recipient is unable to meet the deadlines set out above, Norad shall be informed in writing immediately.
- 6.3 All implementation plans, budgets and reports shall be approved in writing by Norad unless otherwise agreed by the Parties.

- 6.4 In addition to submitting the reports listed above to Norad, the Grant Recipient shall by 1 August each year make public a description of its efforts to combat financial irregularities in its operations and of any closed cases of financial irregularities that the Grant Recipient has been involved in during the previous year. The description may be publicised either by publication of a separate report or in the Grant Recipient's general annual report. The information shall be made public in such a way that whistle-blowers are not exposed and that individuals associated with cases of financial irregularities are ensured the necessary protection.

7 AUDIT

- 7.1 The annual financial statements of the Project shall be audited in accordance with International Standards of Auditing (ISA). The auditor shall comply with all ISAs relevant to the audit, ref. ISA 200 (Overall objectives of the independent auditor and the conduct of an audit in accordance with international standards on auditing), paragraphs 18 and 20. Of particular relevance is ISA 240, (the Auditor's responsibility to Consider Fraud and Error in an Audit of Financial Statements), ISA 800 ("Special considerations- Audits of financial Statements prepared in accordance with special purpose frameworks") and ISA 805 ("Special Considerations-Audits of single financial statements and specific elements, accounts or items of a financial statement") Additional requirements applicable to the auditor and the audit report are included in article 5 of the General Conditions.
- 7.2 The Grant Recipient is responsible for submitting the audit report to Norad within the deadline indicated in article 6 of the Specific Conditions.

8 FORMAL MEETINGS

- 8.1 The Parties shall hold formal meetings if/when requested by Norad. The meetings shall be chaired by Norad.
- 8.2 Unless otherwise agreed, the Parties shall discuss the latest progress report and financial report, as well as the implementation plan and budget for the upcoming period.
- 8.3 The Grant Recipient shall record main issues discussed, points of view expressed and decisions made, in minutes from the meeting. The Grant Recipient shall submit the minutes to Norad no later than two weeks after the meeting for comments and approval.

9 REVIEWS AND OTHER FOLLOW-UP MEASURES

- 9.1 A review focusing on progress to date shall be carried out by 1 June 2024. The Grant Recipient shall draft the terms of reference for the review, based on a template developed by Norad, and submit them to the other Party for approval. The costs of the review shall be included in the Project budget.
- 9.2 If the Grant Recipient or another interested party initiates a review or evaluation of activities wholly or partly funded by the Grant, Norad shall be informed. The Grant Recipient shall forward a copy of the report of any such review or evaluation to Norad without undue delay.

10 PROCUREMENT

- 10.1 All procurement under the Project shall be completed in accordance with the Procurement Provisions in Part III of this Agreement.
- 10.2 If the total value of a contract exceeds NOK 500 000 the call for tenders, the shortlist of suppliers, the award criteria and their weighting, the procurement record and the signed contract shall be submitted to Norad for information.

11 REPAYMENT OF INTEREST AND UNUSED FUNDS

- 11.1 Upon the end of the Support Period or upon termination of this Agreement, any unused funds that total NOK 500 or more shall in its entirety be repaid to Norad as soon as possible and at the latest within 6 months. The repayment shall include any interest which have not been used for Project purposes, and other financial gain accrued on the Grant.

- 11.2 Repayments shall be made to the following bank account:

Name of the account:	Norad
Account no.:	7694 05 14815
IBAN no.:	NO31 7694 05 14 815
Name and address of the bank:	DnB ASA, 0021 Oslo, Norway
Swift/BIC code:	DNBANOKK

- 11.3 The transaction shall be clearly marked: "Unused funds" or "Interest". The name of the Grant Recipient shall be stated, along with Norad's agreement number(s) and agreement title(s).

12 SPECIAL PROVISIONS

- 12.1 General Conditions article 12 clause 2. d) shall be replaced with: "changes to the Project's annual budget that imply reallocation of more than 10 % of a budget line. Changes that amount to less than NOK 40 000 do not need to be pre-approved. Due to the extraordinary circumstances related to Covid-19, reallocation up to 20% without pre-approval will be allowed for the year 2021."
- 12.2 General Conditions article 12 clause 2 c) shall be replaced with: "changes to the implementation plan which implies a delay that may influence the achievement of expected results as presented in the results framework".
- 12.3 General Conditions article 22 clause 1 shall be replaced with: The Grant Recipient shall acknowledge NICFI's support to the Project in all publications and other materials issued in relation to the Project. NICFI's logotype will be provided by Norad upon request. All use of NICFI's logotype must be approved by Norad.
- 12.4 The following shall be added to article 2 of the General Conditions: "Gender disaggregated data shall be provided where relevant."

13 NOTICES

- 13.1 All communication to Norad concerning the Agreement shall be directed to the Department for Climate, Energy and Environment at the following address/e-mail address: post-gronn@norad.no
- 13.2 All communication to the Grant Recipient concerning the Agreement shall be directed to Cecilie Ihli Waldo at the following address/e-mail address: Cecilie.Ihli.Waldo@nca.no
- 13.3 Norad's agreement number and agreement title shall be stated in all correspondence regarding this Agreement, including disbursement requests and repayment of unused funds.

14 SIGNATURES

- 14.1 By signing part I of the Agreement, the Parties also confirm receipt and approval of part II; General Conditions, and part III; Procurement Provisions, which all form an integral part of the Agreement.
- 14.2 This Agreement has been signed in two -2- original copies in the English language. In the event of any discrepancies between this English language version and any later translations, the English language version shall prevail.

Place: Oslo

Date: 02.07.2021

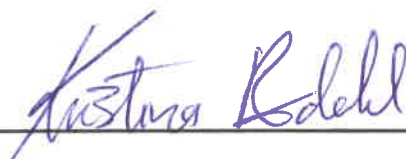


for the Norwegian Agency for Development
Cooperation,

Hilde Dahl

Coordinator of Climate and Forest Projects

Section for Climate, Forests and Green Economy

 For:

for Norwegian Church Aid,

Arne Næss-Holm

Director for International Programmes

Department for International Programmes

Attachments:

Annex A: Approved budget for the Project

Annex B: Results framework

Standard:	Norwegian and Non-Norwegian NGOs	Revision no.:	3
General Conditions	Grant Management Regime I and II	Date:	21.10.2019

PART II: GENERAL CONDITIONS APPLICABLE TO GRANTS FROM THE NORWEGIAN AGENCY FOR DEVELOPMENT COOPERATION

TABLE OF CONTENTS

1	IMPLEMENTATION PLAN AND BUDGET	2
2	PROGRESS REPORT	2
3	FINANCIAL REPORT	2
4	FINAL REPORT	3
5	AUDIT	3
6	CONTROL MEASURES	4
7	FINANCIAL MANAGEMENT	5
8	EXCHANGE RATE FLUCTUATIONS	5
9	EQUIPMENT, CONSUMABLES AND INTELLECTUAL PROPERTY RIGHTS	5
10	REAL PROPERTY	6
11	TRANSFER OF THE GRANT TO A COOPERATING PARTNER	6
12	CHANGES TO THE PROJECT OR THE GRANT RECIPIENT	7
13	EXTENSION OF THE SUPPORT PERIOD	7
14	TRANSPARENCY	8
15	FINANCIAL IRREGULARITIES	8
16	CONFLICT OF INTEREST	9
17	BREACH OF THE AGREEMENT	9
18	TERMINATION OF THE AGREEMENT	10
19	WAIVER AND IMMUNITIES	10
20	LIABILITY	10
21	ASSIGNMENT	10
22	RECOGNITION AND PUBLICATION	11
23	ENTRY INTO FORCE, DURATION AND AMENDMENT	11
24	CHOICE OF LAW AND SETTLEMENT OF DISPUTES	11

1 IMPLEMENTATION PLAN AND BUDGET

- 1.1 Any updated implementation plan to be submitted in accordance with the Specific Conditions shall be directly related to the results framework and shall specify planned activities and outputs and time schedules for the upcoming reporting period.
- 1.2 Any updated budget to be submitted in accordance with the Specific Conditions shall be based on the approved budget in Annex A and include estimated income to the Project from all sources as well as planned expenditures for the upcoming reporting period. The estimated financial need of the Project in the upcoming reporting period shall be clearly stated.
- 1.3 Significant deviations from or changes to the implementation plan and budget is subject to Norad's prior, written approval as outlined in article 12 of the General Conditions.

2 PROGRESS REPORT

- 2.1 Any progress reports to be submitted in accordance with the Specific Conditions shall describe the results achieved by the Project during the reporting period. The report shall be set up in a way that allows direct comparison with the latest approved Application, implementation plan and budget, and shall be signed by an authorised representative of the Grant Recipient.
- 2.2 The progress reports shall, as a minimum, include:
 - a) an account of the results achieved so far by the Project, using the format, indicators and targets of the approved results framework. The overview must:
 - show delivered main outputs compared to planned outputs;
 - show the Project's progress towards achieving the Outcome;
 - if possible, describe the likelihood of the Impact being achieved.
 - b) an account and assessment of deviations from the latest approved implementation plan and Application;
 - c) an assessment of how efficiently Project resources have been turned into Outputs;
 - d) a brief update on the risk management of the Project, including:
 - any new risk factors;
 - how materialized risks have been handled in the reporting period;
 - the effectiveness of mitigating measures;
 - how risks will be handled going forward.The update shall include both risks affecting Project achievements and the risks for negative consequences from the Project on its surroundings. Potential negative effects on the cross-cutting issues as referred to in the Specific Conditions article 3 shall always be accounted for.

3 FINANCIAL REPORT

- 3.1 Any financial report to be submitted in accordance with the Specific Conditions shall comprise financial statements with a comparison to the latest approved budget for the reporting period, as well as an identification of any deviations from the budget as per clause 3.3 below. The financial report shall be certified by the financial controller (or equivalent) as well as an authorised representative of the Grant Recipient.
- 3.2 The financial statements shall be set up in a way that allows for direct comparison with the latest approved budget, using the same currency and budget line items. They shall, as a minimum, include:
 - a) the accounting principles applied;

- b) income from all sources, including bank interest. Norad's contribution shall be specified;
 - c) expenses charged/capitalised in the relevant reporting period;
 - d) expenses charged/capitalised from start-up of the Project to the end of the reporting period;
 - e) unused funds as per the reporting date;
 - f) overhead/indirect costs to be covered by the Grant in accordance with article 4 of the Specific Conditions;
 - g) balance sheet, when required in accordance with the accounting principles applied;
 - h) explanatory notes including a description of the accounting policies used and any other explanatory material necessary for transparent financial reporting of the Project.
- 3.3 Deviations from the approved budget shall be highlighted with information about both nominal amounts and percentage of each deviation. The Grant Recipient shall include a written explanation of any deviations amounting to more than 10% from a budget line.

4 FINAL REPORT

- 4.1 The final report to be submitted in accordance with the Specific Conditions shall describe the results achieved by the Project during the Support Period. The report shall be set up in a way that allows for a direct comparison with the Application, and shall be signed by an authorised representative of the Grant Recipient.
- 4.2 The final report shall, as a minimum, include:
- a) the items listed for the progress reports described in article 2 of the General Conditions, covering the entire Support Period;
 - b) an assessment of the Project's effect on society (Impact);
 - c) a description of the main lessons learned from the Project;
 - d) an assessment of the sustainability of the achieved results by the Project.

5 AUDIT

- 5.1 If an audit of the Project's financial statements is required pursuant to the Specific Conditions, the audit shall be carried out by an independent chartered/certified or state-authorised public accountant (auditor).
- 5.2 Norad reserves the right to approve the auditor, and may require that the auditor shall be replaced if Norad finds that the auditor has not performed satisfactorily or if there is any doubt as to the auditor's independence or professional standards.
- 5.3 The auditor shall form an opinion on whether the Project's financial statements fairly reflect the financial position of the Project and whether they are prepared, in all material respects, in accordance with the applicable financial reporting framework, namely:
- a) the accounting principles followed by the Grant Recipient and;
 - b) the requirements of article 3 clause 2 of the General Conditions.
- 5.4 The auditor shall report in accordance with the applicable audit standards, as agreed in the Specific Conditions.
- 5.5 The audit report shall include:
- a) the Project name and agreement number;
 - b) identification of the Project's total expenses and total income;
 - c) the subject of the audit;

- d) the financial reporting framework applied;
 - e) the auditing standards applied;
 - f) a statement that the auditor has obtained reasonable assurance about whether the financial statements as a whole are free from material misstatement;
 - g) the auditor's opinion.
- 5.6 In addition to the Project's audit report, the auditor shall submit a management letter (matters for governance attention), which shall contain any findings made during the audit of the Project. It shall also list any measures that have been taken as a result of previous audits and whether such measures have been adequate to deal with reported shortcomings.
- 5.7 If any findings have been reported in the Project's management letter, the Grant Recipient shall prepare a response including an action plan to be submitted to Norad together with the management letter.
- 5.8 The costs of the audit of the Project's financial statements shall be included in the Project's budget.
- 5.9 The audit requirements stated in this Agreement are applicable for the total Grant, including any part of the Grant that has been transferred to a cooperating partner.
- 5.10 The auditor of the Project's consolidated financial statement is responsible for the direction, supervision and performance of the audit of any part of the Grant that has been transferred to a cooperating partner. The auditor shall assure itself that those performing the audit for cooperating partners have the appropriate qualifications, that the audit is in compliance with professional standards, and that the audit report is appropriate under the circumstances.
- 5.11 The auditor of the Project's consolidated financial statement shall express an opinion on whether the statement is prepared, in all material respects, in accordance with the requirements of this Agreement. To this end, the auditor shall obtain sufficient appropriate audit evidence regarding the financial statements of the cooperating partner and the consolidation process.

6 CONTROL MEASURES

- 6.1 Representatives of Norad and the Norwegian Auditor General may at all times carry out independent reviews, audits, field visits or evaluations or other control measures related to the Project. The objective of such control measures may be i.a to verify that the Grant has been used in accordance with the Agreement or to evaluate the achievement of results.
- 6.2 The Grant Recipient shall facilitate such control measures by providing all information and documentation necessary to carry out the relevant initiative, as well as ensuring unrestricted access to any premises, records, goods and documents requested.
- 6.3 The representatives of Norad and the Norwegian Auditor General shall also have access to the Grant Recipient's auditor and the auditor's assessments of all information pertaining to the Grant Recipient and the Project. The Grant Recipient shall release the auditor from any confidentiality obligations in order to facilitate such access.
- 6.4 The rights and obligations of this article 6 shall remain in force for 5 years following expiry or termination of the Agreement, whichever occurs later.

7 FINANCIAL MANAGEMENT

- 7.1 The Grant Recipient shall keep accurate accounts of the Project's income and expenditure using an appropriate accounting- and double-entry book-keeping system¹ in accordance with the applicable accounting- and bookkeeping policies in the jurisdiction of the Grant Recipient.
- 7.2 The accounts shall be kept up to date at least on a monthly basis. Bank reconciliations² and cash reconciliations³ shall be completed at least every month, and shall be documented by the Grant Recipient.
- 7.3 Accounts and expenditures relating to the Project must be easily identifiable and verifiable, either by using separate accounts for the Project or by ensuring that Project expenditure can be easily identified and traced within the general accounting- and bookkeeping systems. The accounts must provide details of bank interest accrued on the Grant.
- 7.4 The Grant Recipient shall keep the Project's accounting records for at least 5 years from the time of Norad's approval of the final report for the Project. This shall include i.a. vouchers, receipts, contracts and bank statements.

8 EXCHANGE RATE FLUCTUATIONS

- 8.1 If the Grant is converted into another currency, the exchange shall be made through a national or commercial bank unless otherwise approved by Norad. Exchange rates must be stated to four decimal places.
- 8.2 If exchange rate fluctuations decrease the value of the Grant to such an extent that this will have consequences for the implementation of the Project, the Grant Recipient shall inform Norad as soon as possible.
- 8.3 If exchange rate fluctuations increase the value of the Grant, the gain shall be treated as disbursed Grant funds and used for Project purposes. Net surplus from conversion into foreign currency shall be subtracted from future disbursements or repaid as unused funds at the end of the Support Period, unless otherwise agreed between the Parties.

9 EQUIPMENT, CONSUMABLES AND INTELLECTUAL PROPERTY RIGHTS

- 9.1 The right of ownership to equipment, consumables and intellectual property rights procured or developed by use of the Grant shall vest in the Grant Recipient or its cooperating partner, unless otherwise stated in the Application. All matters associated with such equipment, consumables and intellectual property rights are the exclusive responsibility of the Grant Recipient. However, significant use of such equipment, consumables and intellectual property rights for purposes

1 A double-entry bookkeeping a system is system of bookkeeping where every entry to an account requires a corresponding and opposite entry to a different account.

2 Bank reconciliation is a process of verifying whether the sum found in the bank statements at the end of the period correspond with transactions recorded in the accounting system. This is usually done in conjunction with closure of the accounting records.

3 Cash reconciliation is a process of verifying whether the cash at hand at the end of the period corresponds with the amount of cash in the beginning of the period and the registrations of withdrawals and deposits in the period. This is usually done in conjunction with closure of the accounting records.

outside the Project shall be subject to the Norad's prior approval, as outlined in Article 12 of the General Conditions.

- 9.2 Norad shall have a non-exclusive and royalty-free license to use all intellectual property rights procured or developed by the use of the Grant. Norad may assign this right to any individual or organisation at its own discretion.
- 9.3 Transfer of ownership of such equipment, consumables or intellectual property rights during the Support Period shall be made at market terms. Ownership may not be transferred to an employee of the Grant Recipient or its cooperating partner, or to anyone related or connected to an employee, if such relation could lead to a conflict of interest as described in article 16 of the General Conditions.
- 9.4 Before a transfer is decided, the Grant Recipient shall assess whether it may have an impact on the Project and, where appropriate, consult with Norad. Any income from a transfer shall accrue to the Project, and shall be reported in the financial statement of the Project.
- 9.5 The Grant Recipient shall prepare a record of transfer of ownership for any equipment, consumables and intellectual property rights. The record shall comprise information about the object of transfer, the original purchase price paid by the Grant Recipient, price offers received, the final sales price and the name of the purchaser. The record shall be submitted to Norad along with the first progress report due after the sale.
- 9.6 If the activities of the Project do not continue after the end of the Support Period or after termination of the Agreement, the Grant Recipient shall inform Norad about the remaining equipment and goods that have been purchased by use of the Grant. The Norad may require that such assets be sold. Such sale shall be completed in accordance with the procedures described above. Income from the sale shall be repaid to Norad.

10 REAL PROPERTY

- 10.1 The Grant may not be used to purchase or construct real property (land or buildings) unless explicitly approved by Norad.
- 10.2 If Norad has approved a purchase or construction of real property, the Grant Recipient and Norad shall agree on the details concerning the ownership and the status of the real property after the end of the Support Period and/or the end of the Project. The agreement may be formalised in the Specific Conditions or in a separate agreement document.
- 10.3 Norad may in such an agreement require i.a. that the real property shall be sold after the end of the Support Period and that the proceeds from the sale shall be repaid to Norad. Norad may also reserve the right to establish security interests in any real property purchased by use of the Grant.

11 TRANSFER OF THE GRANT TO A COOPERATING PARTNER

- 11.1 Transfer of all or part of the Grant including assets to a cooperating partner shall be documented through a written agreement. The agreement shall specify that the cooperating partner is required to comply with the provisions of this Agreement and to cooperate with the Grant Recipient to ensure that the Grant Recipient is able to fulfil its obligations hereunder.

- 11.2 The agreement between the Grant Recipient and the cooperating partner shall have provisions related to i.a. reporting, audit, procurement and measures to prevent financial irregularities. Furthermore, the agreement shall explicitly state that:
- a) both the Grant Recipient, Norad and the Norwegian Auditor General shall have the same access to undertake the control measures related to the cooperating partner's use of the Grant as described in article 6 of the General Conditions,
 - b) the Grant Recipient shall be entitled to claim repayment of the Grant from the cooperating partner in the same instances and to the same extent that Norad is entitled to claim repayment from the Grant Recipient, and the cooperating partner shall accept that Norad has the right to claim repayment directly from the cooperating partner to the same extent as the Grant Recipient,
 - c) the cooperating partner shall accept the choice of law and settlement of disputes provisions in article 24 of the General Conditions in relation to any disputes arising between the cooperating partner and Norad.
- 11.3 The Grant Recipient shall assure itself that the cooperating partner has the necessary competence and internal procedures to meet the requirements of the Agreement and shall follow-up the cooperating partner's compliance with the Agreement throughout the Support Period.
- 11.4 The Grant may not be transferred to a cooperating partner who has previously been charged or sentenced for any criminal activity unless explicitly approved by Norad.
- 11.5 The Grant Recipient shall remain fully responsible towards Norad for any part of the Grant including assets that has been transferred to a cooperating partner.

12 CHANGES TO THE PROJECT OR THE GRANT RECIPIENT

- 12.1 Any significant deviations from or changes to the Application or approved implementation plans or budgets are subject to Norad's prior, written approval. The same applies to significant changes to, or circumstances materially affecting, the Grant Recipient's organisation.
- 12.2 The following deviations/changes shall always be subject to Norad's prior written approval:
- a) any changes to the Project's sources of income,
 - b) any changes to the results framework or scope of the Project,
 - c) changes to the implementation plan which implies a delay of more than three months of any activity,
 - d) changes to the Project's annual budget that imply reallocation of more than 10% of a budget line.
- 12.3 Norad may suspend disbursements of the Grant until such changes have been approved.

13 EXTENSION OF THE SUPPORT PERIOD

- 13.1 The Support Period of the Project is set out in the Specific Conditions. The Grant Recipient must, without delay, inform Norad of any circumstances likely to hamper or delay the implementation of the Project.
- 13.2 The Grant Recipient may request an extension of the Support Period if this is necessary to complete all planned activities. The request must state the reasons for the delay and supporting documentation must be enclosed. Norad shall approve or decline the request in writing.

14 TRANSPARENCY

14.1 The Grant Recipient shall publish the following in a dedicated and easily accessible place of its internet site:

- a) a copy of this Agreement and any addendum;
- b) the title and value of any contracts, cooperation agreements and/or other sub-agreements of more than NOK 500 000 (or the equivalent in local currency) which are financed by the Grant;
- c) the names and nationalities of the respective agreement parties and, if relevant, any sub-grantees or contractors in receipt of Project funds;

Any deviations from article 14 shall be agreed by the Parties in writing, i.a. in the Specific Conditions.

14.2 Publication shall take place as soon as possible, and at the latest within six months after the contracts, cooperation agreements and/or other sub-agreements were entered into.

14.3 The Grant Recipient shall make other project documentation, including the Application and all agreed reports, available to anyone upon request. Requests for disclosure may be denied if such disclosure is prohibited by confidentiality obligations and/or if it may be detrimental to the Grant Recipient's legitimate interests.

15 FINANCIAL IRREGULARITIES

15.1 The Grant Recipient is required to practise zero tolerance against corruption and other financial irregularities within and related to the Project. The zero tolerance policy applies to all staff members, consultants and other non-staff personnel and to cooperating partners and beneficiaries of the Grant.

15.2 "Financial irregularities" refers to all kinds of:

- a) corruption, including bribery, nepotism and illegal gratuities;
- b) misappropriation of cash, inventory and all other kinds of assets;
- c) financial and non-financial fraudulent statements;
- d) all other use of Project funds which is not in accordance with the implementation plan and budget.

15.3 In order to fulfil the zero tolerance requirement, the Grant Recipient shall:

- a) organise its operations and internal control systems in a way that financial irregularities are prevented and detected;
- b) do its utmost to prevent and stop financial irregularities within and related to the Project;
- c) require that all staff involved in, and any consultants, suppliers and contractors financed under the Project refrain from financial irregularities.

15.4 The Grant Recipient shall inform Norad immediately of any indication of financial irregularities in or related to the Project. The Grant Recipient shall provide Norad with an account of all the known facts and an assessment of how the matter should be followed up, including whether criminal prosecution or other sanctions are considered appropriate.

15.5 The matter will be handled by Norad in accordance with Norad's guidelines for handling suspicion of financial irregularities. The Grant Recipient shall cooperate fully with Norad's investigation and follow-up. If requested by Norad, the Grant Recipient shall initiate prosecution and/or apply other sanctions against persons or entities suspected of financial irregularities.



- 15.6 Norad may claim repayment of all or parts of the Grant in accordance with article 17 of the General Conditions if it finds that any financial irregularities have taken place in or related to the Project. The repayment claim may also include any interest, investment income or any other financial gain obtained as a result of the financial irregularity.

16 CONFLICT OF INTEREST

- 16.1 The Grant Recipient shall take all necessary precautions to avoid any conflicts of interest in all matters related to the Project.
- 16.2 Conflict of interest refers to any situation where the impartial and objective exercise of the functions of anyone acting on behalf of the Grant Recipient is, or may be, compromised for reasons involving family, personal life, political or national affinity, economic interest or any other connection or shared interest with another person.
- 16.3 If a conflict of interest occurs, the Grant Recipient shall, without delay, take all necessary measures to resolve the conflict, e.g. by replacing the person in question or by obtaining independent verification of the terms of the proposed decision or transaction.
- 16.4 If the conflict of interest cannot be resolved and/or if it relates to a decision or transaction of special significance to the Project, the decision or transaction may not be concluded without the prior, written approval of Norad.

17 BREACH OF THE AGREEMENT

- 17.1 If the Grant Recipient fails to fulfil its obligations under this Agreement and/or if there is suspicion of financial irregularities, Norad may suspend disbursement of all or part of the Grant.
- 17.2 In the event of material breach of the Agreement, Norad may terminate the Agreement with immediate effect, and/or claim repayment of all or parts of the Grant.
- 17.3 Material breach of the Agreement shall include, without limitation, the following situations:
- a) all or part of the Grant has not been used in accordance with the Agreement and/or approved implementation plans and budget,
 - b) the Grant Recipient has made false or incomplete statements to obtain the Grant,
 - c) the use of the Grant has not been satisfactorily accounted for,
 - d) the Grant Recipient has, after having been granted an extended deadline, failed to provide the agreed reports, or has knowingly provided reports that do not reflect reality,
 - e) financial irregularities, grave professional misconduct or illegal activity of any form have taken place within the Grant Recipient or its cooperating partners,
 - f) the Grant Recipient has failed to inform Norad of indication of financial irregularities within the Project in accordance with article 15 of the General Conditions,
 - g) the Grant Recipient has changed legal personality without prior notification to Norad,
 - h) the Grant Recipient is bankrupt, being wound up or is having its affairs administered by the courts, or is subject to any analogous or corresponding procedure provided for under national legislation.
- 17.4 The Grant Recipient shall inform Norad immediately of any circumstances that may indicate or lead to a breach of Agreement, and shall provide Norad with any information or documentation it may reasonably require in order to determine if a breach of the Agreement has occurred.

- 17.5 Norad may also suspend disbursements or terminate the Agreement with immediate effect if a material breach of another agreement between Norad and the Grant Recipient has been established.

18 TERMINATION OF THE AGREEMENT

- 18.1 Each of the Parties may terminate the Agreement upon a written notice.
- 18.2 The Support Period shall end three months after the date of the notice of termination. During these three months, the Grant Recipient may only use the Grant to cover commitments that have been established before the date of the notice of termination.
- 18.3 If the Project cannot continue without the Grant, the Grant Recipient shall use these three months to discontinue or scale down the Project promptly and in an orderly and financially sound manner. Any funds that remain unused at the end of the Support Period shall be repaid to Norad.
- 18.4 The Grant Recipient shall submit a final report to Norad within three months of the end of the Support Period. The final report shall meet the requirements set out in article 4 of the General Conditions and shall also include a financial report and audit report covering the period from the previous financial report until the end of the Support Period.
- 18.5 The Agreement will be considered terminated when the final report has been approved by Norad and any remaining funds have been repaid.

19 WAIVER AND IMMUNITIES

- 19.1 Nothing in the Agreement or any document related to the Agreement shall imply a waiver, express or implied, by Norad, the Government of Norway or any of its officials of any privileges or immunity enjoyed by them or their acceptance of the jurisdiction of the courts of any country over disputes arising thereof. This article 19 will not prevent arbitration or court proceedings in the legal venue of the Grant Recipient pursuant to article 24 of the General Conditions.

20 LIABILITY

- 20.1 Norad shall not under any circumstances or for any reason be held liable for damage, injury or loss of income sustained by the Grant Recipient or its agencies, staff or property as a direct or indirect consequence of the Project or services provided thereunder. Norad will not accept any claim for compensation or increases in payment in connection with such damage, injury or loss of income.
- 20.2 The Grant Recipient shall assume sole liability towards third parties, including liability for damage, injury or loss of income of any kind sustained by them as a direct or indirect consequence of the Project. The Grant Recipient shall indemnify Norad against any claim or action from the Grant Recipient's staff or third parties in relation to the Project.

21 ASSIGNMENT

- 21.1 The Agreement and/or the Grant may not be assigned to a third party without the prior written consent of Norad. This shall not, however, prevent transfer of parts of the Grant to a cooperating partner in accordance with article 11 of the General Conditions.



22 RECOGNITION AND PUBLICATION

- 22.1 The Grant Recipient shall acknowledge Norad's support to the Project in all publications and other materials issued in relation to the Project. Norad's logotype will be provided by Norad upon request. All use of Norad's logotype must be approved by Norad.

23 ENTRY INTO FORCE, DURATION AND AMENDMENT

- 23.1 The Agreement shall enter into force at the date of the last signature and shall remain in force until all obligations arising from it have been fulfilled, or until it is terminated in accordance with the provisions of the General Conditions. Whether the obligations of the Agreement shall be considered fulfilled, will be determined through consultations between the Parties and confirmed by Norad in a completion letter.
- 23.2 The Agreement may be amended. Any such amendment must be agreed upon in writing between the Parties and shall become an integral part of the Agreement.
- 23.3 Termination or expiry of the Agreement shall not release the Parties from any liability arising from any act or omission that has taken place prior to such termination or expiry.

24 CHOICE OF LAW AND SETTLEMENT OF DISPUTES

- 24.1 The Agreement shall be governed and construed in accordance with Norwegian law.
- 24.2 If any dispute arises relating to the implementation or interpretation of the Agreement, the Parties shall seek to reach an amicable solution.
- 24.3 Any dispute arising out of or in connection with the Agreement that cannot be solved amicably, shall exclusively be settled before the Norwegian courts of law with Oslo District Court as legal venue.
- 24.4 The Grant Recipient accepts that Norad can, at its own sole discretion and as an alternative to the legal venue mentioned above, choose to settle the dispute by
- a) the courts in the legal venue of the Grant Recipient, or
 - b) arbitration in accordance with the Arbitration Rules of the Arbitration Institute of the Stockholm Chamber of Commerce. The arbitral tribunal shall be composed of three arbitrators. If the disputed amount is below an amount corresponding to NOK 10 000 000 the arbitral tribunal shall, however, be composed of a sole arbitrator. The seat of arbitration shall be Stockholm, Sweden, and the language to be used in the arbitral proceedings shall be English. The Parties agree that neither the arbitral proceedings nor the award shall be subject to any confidentiality.
- 24.5 The Parties agree that no other courts of law, than as set out in this article 24, shall have jurisdiction over disputes arising out of or in connection with this Agreement.

Standard:	Norwegian and Non-Norwegian NGOs	Revision no.:	3
Procurement Provisions	Grant Management Regime I and II	Date:	21.10.2019

PART III: PROCUREMENT IN THE CONTEXT OF PROJECTS FINANCED BY THE NORWEGIAN AGENCY FOR DEVELOPMENT COOPERATION

1 INTRODUCTION

- 1.1 This Part III sets out procurement rules and principles which shall be applied by the Grant Recipient when procuring goods, services or works to Projects financed by the Norwegian Agency for Development Cooperation (Norad). Stricter rules may supplement the compulsory minimum rules set forth in this Part III.
- 1.2 Norad may carry out ex post checks on the Grant Recipient's compliance with the rules set forth in this Part III.
- 1.3 Failure to comply with the rules set forth in this Part III shall render the Project expenditure ineligible for Norad funding and may lead to withholding funds or claim for repayment in accordance with article 17 of the General Conditions (Part II) of this Agreement.
- 1.4 Contracts shall not be split artificially to circumvent the procurement thresholds. All monetary amounts referred to in this Part III are amounts excluding value-added tax (VAT).
- 1.5 The procurement provisions shall also apply to any procurements to be carried out by the Grant Recipient's cooperation partners or others. The Grant Recipient shall be responsible for compliance as per article 11 of the General Conditions (Part II) of this Agreement regardless of whether the procurement is carried out by the Grant Recipient itself or its cooperation partners or others.
- 1.6 Sections 1 to 4 set out rules, which shall apply to all contracts. Sections 5 to 6 contain specific rules for service, supply and works contracts. Section 7 lists the situations where a negotiated procedure without prior publication is permitted.

2 BASIC PRINCIPLES

- 2.1 If a Project requires procurement by the Grant Recipient, the contract must be awarded following a tender procedure to the most economically advantageous tender (i.e. to the tenderer obtaining the best score based on price and quality), or, as appropriate, to the tenderer offering the lowest price. In doing so, the Grant Recipient shall avoid any conflict of interests and respect the following basic principles:

- a) **Competition:** The procedures applied and the award of contracts shall be based on fair competition.
- b) **Equal treatment and non-discrimination:** Participation in tender procedures shall be open on equal terms to all natural and legal persons. During the entire procurement and the award of contracts, the Grant Recipient shall not discriminate against candidates/tenderers or groups of candidates/tenderers.
- c) **Transparency and ex-ante publicity:** As a general rule, tender procedures shall be based on prior publication. Where the Grant Recipient does not launch an open tender procedure, it shall justify the choice of tenderers that are invited to submit an offer.
- d) **Objective criteria:** The Grant Recipient shall evaluate the offers received against objective criteria, which enable the Grant Recipient to measure the quality of the offers and shall take into account the price (the offer with the lowest price shall be awarded the highest score for the price criterion). The criteria shall be set out beforehand and shall be relevant to the contract in question.
- e) **Notoriety:** The Grant Recipient shall keep sufficient and appropriate records and documentation with regard to the procedure, its evaluation and award.

3 ELIGIBLE TENDERERS

3.1 Tenderers must provide information on their legal form and ownership structure.

3.2 Tenderers shall be excluded from participation in a procurement procedure if:

- a) they are bankrupt or being wound up, are having their affairs administered by the courts, have entered into an arrangement with creditors, have suspended business activities, are subject of proceedings concerning those matters, or are in any analogous situation arising from a similar procedure provided for in national legislation or regulations. However, tenderers in this situation may be eligible to participate insofar as the Grant Recipient is able to purchase supplies on particularly advantageous terms from either a supplier which is definitively winding up its business activities, or the receivers or liquidators of a bankruptcy, through an arrangement with creditors, or through a similar procedure under national law;
- b) they or persons having powers of representation, decision-making or control over them have been convicted of an offence concerning their professional conduct by a final judgment;
- c) they have been guilty of grave professional misconduct; proven by any means which the Grant Recipient can justify;
- d) they have not fulfilled obligations relating to the payment of social security contributions or taxes in accordance with the legal provisions of the country in which they are established, or with those of the country of the Grant Recipient or those of the country where the contract is to be performed;



- e) they or persons having powers of representation, decision-making or control over them have been convicted for fraud, corruption, involvement in a criminal organisation or money laundering by a final judgment;
 - f) they make use of child labour or forced labour and/or practise discrimination, and/or do not respect the right to freedom of association and the right to organise and engage in collective bargaining pursuant to the core conventions of the International Labour Organization (ILO).
- 3.3 Tenderers shall confirm in writing that they are not in any of the situations listed above. Even if such confirmation is given by a tenderer, the Grant Recipient shall investigate any of the situations listed above if it has reasonable grounds to doubt the contents of such confirmation.
- 3.4 Contracts shall not be awarded to tenderers which, during the procurement procedure:
- a) are subject to a conflict of interests;
 - b) are guilty of misrepresentation in supplying the information required by the Grant Recipient as a condition of participation in the tender procedure, or fail to supply this information.

4 GENERAL PROCUREMENT RULES

- 4.1 The tender documents shall be drafted in accordance with best international practice. The Grant Recipient may voluntarily use the models published in the Practical Guide on the EuropeAid (EU) website.
- 4.2 The Grant Recipient shall take into account universal design and the potential environmental impact of any planned procurements.
- 4.3 All invitations to submit tenders shall state that offers will be rejected if any illegal or corrupt practises have taken place in connection with the award. All contracts concluded under the Project shall state that the Grant Recipient may terminate the contract if it finds that illegal or corrupt practises have taken place in connection with the contract award or execution.
- 4.4 The time-limits for receipt of tenders and requests to participate must be sufficient to allow interested parties a reasonable and appropriate period to prepare and submit their tenders.
- 4.5 An evaluation committee must be set up to evaluate applications and/or tenders of a value of NOK 500 000 or more on the basis of the exclusion, selection and award criteria. This committee must have an odd number of members, at least three, with all the technical and administrative capacities necessary to give an informed opinion on the tenders.



- 4.6 For contracts with a value exceeding NOK 100 000, the Grant Recipient shall compile a written record with documentation of all assessments and decisions during all steps of the procurement process from the planning stage until the signing of the contract. Upon request by Norad, the Grant Recipient shall deliver its written record to Norad and grant Norad access to all relevant information and documentation related to the procurement procedure and practices applied.

5 AWARD OF CONTRACTS

- 5.1 Contracts with a value of less than NOK 500 000 may be awarded by using any procurement procedure established by the Grant Recipient, while respecting the rules and principles laid down in Sections 1 to 4 of this Part III.
- 5.2 Contracts with a value exceeding NOK 500 000 shall be awarded by means of one of the following procurement procedures:
- a) **Open tender procedure:** In open procedures, any interested tenderer may submit a tender in response to a call for competition. The tender shall be accompanied by the information for qualitative selection as requested by the Grant Recipient.
 - b) **Restricted procedure:** In restricted procedures, any tenderer may submit a request to participate in response to a call for competition by providing the information for qualitative selection as requested by the Grant Recipient. Only those tenderers invited to do so by the Grant Recipient following its assessment of the information provided may submit a tender. The Grant Recipient may limit the number of suitable candidates to be invited to participate in the procedure.
 - c) **Competitive procedure with negotiation:** In competitive procedures with negotiation, any tenderer may submit a request to participate or a tender in response to a call for competition by providing the information for qualitative selection as requested by the Grant Recipient. Tenderers may submit an initial tender, which shall be the basis for subsequent negotiations. The minimum requirements and the award criteria shall not be subject to negotiations.
- 5.3 Where the Grant Recipient does not launch an open tender procedure, it shall justify and document in writing the choice of tenderers that are invited to submit an offer.
- 5.4 Deviations from the procedures listed in Section 5.2 are limited to the situations listed in Section 7 of this Part III.

6 PUBLICATION OF PROCUREMENT NOTICE

- 6.1 The following shall apply with respect to publication of the procurement notice:¹

¹ Definitions of different types of contracts and procedures can be found in Directive 2014/24/EU.



- a) **Service and supply contracts from NOK 500 000 to less than NOK 2 500 000 and works contracts from NOK 500 000 to less than NOK 40 000 000**

The prior procurement notice shall be published in all appropriate media, at least in the country in which the Project will be carried out as well as on the Grant Recipient's website.

- b) **Service and supply contracts with a value of NOK 2 500 000 and above and works contracts with a value of NOK 40 000 000 and above**

The prior procurement notice shall be published in all appropriate media, in particular on the Grant Recipient's website, in the international press and the national press of the country in which the Project will be carried out, and in any other relevant specialist periodicals.

7 USE OF NEGOTIATED PROCEDURE WITHOUT PRIOR PUBLICATION

7.1 The Grant Recipient may use a negotiated procedure without prior publication in the following cases:

- a) if any of the circumstances set out in Article 32 of Directive 2014/24/EU are present;
- b) for purposes of humanitarian aid and civil protection operations or for crisis management aid in a crisis that has been formally recognised by and for the time period declared by Norad;
- c) where the services are entrusted to public-sector or non-profit bodies and relate to activities of an institutional nature or are designed to provide assistance to people in the social field;
- d) for contracts declared to be secret, or whose performance must be accompanied by special security measures, or when the protection of the essential interests of Norad so requires.

CFI 2021-25. Org: Norwegian Church Aid. Thematic Category: 4 - Mobilizing ambition and support for forest friendly policies

DIRECT PROJECT COSTS (Based on cost-categories)	2021	2022	2023	2024	2025	TOTAL	Share
	NOK	NOK	NOK	NOK	NOK	NOK	%
DIRECT PROJECT COSTS (HQ)	449 450	117 450	117 450	117 450	117 450	919 250	2 %
Salaries	26 250	26 250	26 250	26 250	26 250	131 250	0 %
Travels	30 000	30 000	30 000	30 000	30 000	150 000	0 %
Consultants and other external services	300 000	-	-	-	-	300 000	1 %
Materials, equipment, vehicles etc.	32 000	-	-	-	-	32 000	0 %
Other direct activity costs	11 200	11 200	11 200	11 200	11 200	56 000	0 %
Audits and external evaluations	50 000	50 000	50 000	50 000	50 000	250 000	1 %
DIRECT PROJECT COSTS (Regional/Country Office)	913 982	596 908	737 593	596 908	801 352	3 646 742	10 %
Salaries	354 958	354 958	354 958	354 958	354 958	1 774 792	5 %
Travels	94 194	94 194	94 194	94 194	94 194	470 971	1 %
Operating costs	49 422	49 422	49 422	49 422	49 422	247 110	1 %
Consultants and other external services	297 778	20 000	20 000	20 000	20 000	377 778	1 %
Materials, equipment, vehicles etc.	40 963	-	-	-	10 000	50 963	0 %
Other direct activity costs	73 889	75 556	75 556	75 556	75 556	376 111	1 %
Audits and external evaluations	2 778	2 778	143 463	2 778	197 222	349 018	1 %
DIRECT PROJECT COSTS (Local*)	7 233 567	6 730 016	6 419 204	6 250 647	6 183 771	32 817 205	88 %
Salaries	1 863 677	2 178 403	2 178 403	2 178 403	2 176 718	10 575 605	28 %
Travels	156 298	156 301	114 972	114 957	114 971	657 500	2 %
Operating costs	449 468	449 468	449 468	449 468	449 468	2 247 338	6 %
Consultants and other external services	314 763	197 690	229 996	236 542	180 400	1 159 390	3 %
Materials, equipment, vehicles etc.	616 263	13 223	13 223	13 223	13 223	669 153	2 %
Other direct activity costs	3 812 534	3 714 368	3 412 578	3 237 491	3 228 427	17 405 397	47 %
Audits and external evaluations	20 564	20 564	20 564	20 564	20 564	102 821	0 %
TOTAL DIRECT PROJECT COSTS	8 596 998	7 444 374	7 274 247	6 965 005	7 102 573	37 383 197	

DIRECT PROJECT COST BY COUNTRY (required information for multi-country agreements)	2021	2022	2023	2024	2025	TOTAL	Share
	NOK	NOK	NOK	NOK	NOK	NOK	%
Ethiopia	8 147 548	7 326 924	7 156 797	6 847 555	6 985 123	36 463 947	98 %

Norway	449 450	117 450	117 450	117 450	117 450	919 250	2 %
TOTAL DIRECT PROJECT COSTS	8 596 998	7 444 374	7 274 247	6 965 005	7 102 573	37 383 197	

DIRECT PROJECT COST BY OUTCOME (or by thematic area/sector if cost distribution by outcome is too complicated)	2021	2022	2023	2024	2025	TOTAL	Share
	NOK	NOK	NOK	NOK	NOK	NOK	%
Approved and implemented policies for sustainable forest and land use in tropical forest countries and jurisdictions	2 642 839	2 253 062	2 200 126	2 098 123	2 148 644	11 342 793	30 %
<i>Sacred forest is included into Ethiopian forest policies as a result of faith leaders' advocacy efforts towards duty bearers</i>	1 321 419	1 126 531	1 100 063	1 049 062	1 074 322	5 671 396	15 %
<i>Faith leaders and faith communities have mobilised communities to respect and follow the laws protecting forest</i>	1 321 419	1 126 531	1 100 063	1 049 062	1 074 322	5 671 396	15 %
Improved rights and livelihoods for indigenous peoples and local communities in tropical forest countries.	5 954 159	5 191 313	5 074 121	4 866 882	4 953 929	26 040 404	70 %
<i>Forests are conserved and green corridors are established</i>	2 552 949	2 236 643	2 183 707	2 097 559	2 132 226	11 203 083	30 %
<i>Communities have improved livelihoods without adding pressure on the forest resources</i>	2 552 949	2 236 643	2 183 707	2 097 559	2 132 227	11 203 084	30 %
<i>Project lessons and learnings shared and practiced at local and global level</i>	848 261	718 027	706 708	671 764	689 476	3 634 236	10 %
TOTAL DIRECT PROJECT COSTS	8 596 998	7 444 374	7 274 247	6 965 005	7 102 572	37 383 196	

INCOME/FINANCING PLAN DIRECT PROJECT COSTS	2021	2022	2023	2024	2025	TOTAL	Share
	NOK	NOK	NOK	NOK	NOK	NOK	%
Grant funding Norad	9 198 788	7 965 480	7 783 444	7 452 556	7 599 753	40 000 021	100 %
Grant funding donor x (specify)	-	-	-	-	-	-	0 %
Grant funding donor xx (specify)	-	-	-	-	-	-	0 %
Grant funding donor xxx (specify)	-	-	-	-	-	-	0 %

ANNEX 6. RESULTS FRAMEWORK: Interfaith Initiative for Forest Conservation and Climate Action

CFI 2021-25, Org: Norwegian Church Aid, Thematic Category: 4 - Mobilizing ambition and support for forest friendly policies

ETHIOPIA			Indicator data – Targets:								Annual/ Accumulat	Data sources	Methodology for data	notes	Responsible Actor
Results level	Expected result	Indicators	Baseline Year 0	Y1	Y2	Y3	Y4	Y5							
NICT OUTCOME	Approved and implemented policies for sustainable forest and land use in tropical forest countries and jurisdiction												In this column we have added some comments and activities – this is to be considered explanatory		
		Sacred forest is an acknowledged forest classification in Ethiopian forest and climate policies by 2025	Not acknowledged			Acknowledged					Evaluation report	Surveys, FGD (focus group discussion), KI Interviews, KI (Key Informant Interview)	Faith leaders will focus its advocacy efforts on having sacred forest acknowledged by policy makers, not only as forests of religious importance but as forests of importance for the country's overall efforts in protecting forests (community forests as well as sacred forests). We believe that by advocating for and sharing with the government the church forest methodology that NCA and orthodox partners have developed in the pilot project, we will positively influence and help the government in improving and implementing its forests development, conservation and utilization policy. This is expected to contribute to conservation of community forests as well as sacred forests.	NCA and Implementing partners	
PROJECT OUTCOME 1	Sacred forests are included into Ethiopian forest legislation and policies												Sacred forest are forests of religious importance. Include church forests into the government policy framework. Faith actors and communities and stakeholder will contribute towards implementation of the CRGE strategy, and thereby to the Ethiopia Intended Nationally Determined Contribution to limit its net greenhouse gas emissions in 2030. Faith actors and communities and stakeholder will advocate for implementation of the 2018 Ethiopia forest proclamation.		
		The church forest methodology has been adapted by the government and can be found in government implementation tools for community and state forests	Not adapted yet					Adapted					Government Policy documents		
		Number of formal documents (like strategies, policy gap analysis, policy briefs etc.) submitted to the relevant government line offices	0	1	2	4	6	8							

PROJECT OUTPUT 1.1	Faith leaders have advocated for forest protection and climate action towards policy makers	# of advocacy actions (e.g. policy dialogues and policy briefs) taken by faith-actors and communities towards duty-bearers in Ethiopia	0	1	2	4	6	8	Accumulated	Project Periodic activity reports, Monitoring reports	Surveys, FGD, Interviews, monitoring checklists	Faith actors and communities have conducted dialogues with decision makers. Policy briefs and petitions promoting sacred forest has been disseminated. Theological rationale and church forest methodology. Suggest changes to/submit new proposals for policy making. Organize field visits with duty bearers. Organize conferences where duty bearers are present. Consultation meetings are conducted with academia and government institutions.	NCA and implementing partners
PROJECT OUTPUT 1.2	The Ethiopia Interfaith Climate Platform (EICP) is functioning and advocating for change in, and implementation of climate and forest policies and strategies.	# of functional bylaws for EICP formulated	0	1					Accumulated	Project Periodic activity reports, Monitoring reports	Surveys, FGD, Interviews, monitoring checklists	EICP was established under NCA's Church forest program in 2019. We will build on that and establish a functional structure from national to grassroots level.	NCA and implementing partners
		# of action plans developed	0	1	2	3	4	5	Accumulated	Project Periodic activity reports, Monitoring reports	Surveys, FGD, Interviews, monitoring checklists	Meetings conducted with faith communities, local government and other stakeholders to establish understanding about the importance of forest protection and climate action.	
		# of national and regional level policy dialogues organized by the platform	2	4	8	12	16		Accumulated	Project Periodic activity reports, Monitoring reports	Surveys, FGD, Interviews, monitoring checklists	The platform organizes conferences on forestry and climate change policy dialogues at the national level and regional level with different stakeholders.	
PROJECT OUTPUT 1.3	Women of faith advocacy groups for forest protection are established	# of women of faith advocacy groups established.	0	5	12				Accumulated	Project Periodic activity reports, Monitoring reports	Surveys, FGD, Interviews, monitoring checklists	Women of faith advocacy groups established at national and regional level to do advocacy on climate and forest policies representing their communities. They will also do advocacy towards duty bearers at local level. Their focus is also on mobilisation for grassroots forest protection and watershed management. As their name indicates, the group members will be from different religious backgrounds (inter-faith). They will work with the women associations in Ethiopia. The project will support these groups to be able to be engaged in policy reviews, trained in policy briefs, and to mobilise their community groups for action. Local women advocacy groups will also be trained to monitor how well the local government is implementing the forest and climate policies	

		# Gender justice in forest governance and Ecological advocacy sessions organized by women of faith.	0	2	4	6	8	Accumulated	Project Periodic activity reports, Monitoring reports	Surveys, FGD, Interviews, monitoring checklists	Women of faith eco-advocacy groups: As their name indicates, the group members will be from different religious backgrounds (inter-faith). In the project they will have an advocacy role from a faith perspective and will be active in influencing national and international policy issues. They are responsible for mobilizing women in the communities and implementation of climate and forest protection and watershed management activities. Moreover, they will also be active in gender justice in forest governance systems.	Implementing partners and target communities
		# of organized faith groups established to mobilize neighborhood communities.		20	40			Accumulated	Project Periodic activity reports, Monitoring reports	Surveys, FGDs, Interviews	Religious leaders use the community's spiritual commitment and moral obligation to preach about forest and climate. The government law being referred to is the Ethiopian Forest conservation, development and utilization proclamation	Implementing partners and target communities
	Faith leaders and faith communities have mobilized communities to respect and follow the theological rationale and government policy for forest protection	Encroachment through human/animal interference (like grazing, cutting trees), reduced by at least 50% by the end of the program period.			10 %	25 %	35 %	50 %	Project Periodic activity reports, Monitoring reports	Surveys, FGDs, Interviews	Forest encroachment/human and animal will be reduced as a result of faith actors and faith communities mobilization to forest protection.	implementing partners, target communities
		# of communities having a forest management plan aligned with the existing laws protecting forest		16	32	48	64	80	Project Periodic activity reports, Monitoring reports	Surveys, FGDs, Interviews	The number of faith communities that are mobilized by faith leaders through various Theological and ecological literacy sessions corresponds to the number of communities having a forest management plan etc	implementing partners, target communities
PROJECT OUTPUT 2.1	Faith institutions use strategic communications to mobilize communities and Ethiopian society to support forest protection	# of people addressed through media including social media, TV, booklets and other publications.	1 Million	2 Mil.	6 Mil.	11 Mil.	16 Mil.	21 Mil.	Project Periodic activity reports, Monitoring reports, Media tracking reports	Surveys, FGD, Interviews, monitoring checklists	Religious TV broadcasting and social media will use popular shows to address forest and climate reaching tens of millions of viewers	Implementing partners
		# of theological rationales developed/revised in local language and translated into English	1	2	4				Project Periodic activity reports	Surveys, FGD, Interviews, monitoring checklists	Creating theological rationales is the key to mobilize the contingencies. Replication, adaptation and translation of the orthodox theological rational developed under NCA's church forest program. Support in development of the same for the other faiths and for the EICP.	NCA and implementing partners
PROJECT OUTPUT 2.2	Theological rationale for forest protection and teaching material about climate change is developed and disseminated	# of ecological literacy sessions (sermons, bible studies, etc.) organized	1	4	14	29	59	104	Monitoring reports		Green sermons, bible studies, literacy sessions and campaigns developed.	
		# of religious schools introduced forest and climate education in their curriculum	1	2	3				Monitoring reports	Interviews, FGDs, monitoring checklists	Educational material for Friday/Sunday school. Green Mosque educational material adapted for children.	

PROJECT OUTPUT 2.3	# of community task forces with equal participation of women and men of different ages established	TBD	40	80					Accumulated	Project Periodic activity reports,	Surveys, FGD, Interviews, monitoring checklists	In charge of creating adaptive capacity and resilience building of the communities. The community task forces will also be in charge of developing community forest management plans aligned with existing laws protecting forest. The task forces will also do disaster risk management plans and ensure implementation of these.	Implementing partners , target communities
	# of community task force members trained (gender disaggregated)	0	280 (140 F, 140M)	560 (280F, 280M)					Accumulated	Monitoring reports	Surveys, FGD, Interviews, monitoring checklists	Mobilizing people for tree planting.	
	# of national, regional and woreda level champion ceremonies	0		10	20	30	40	Accumulated				Champion activities for forest and for peace. Guardians of forest and nurseries. Locating climate champions to inspire others. An organized event in the Christian faith is to organize champion ceremonies to encourage the community task forces through recognition and award systems for their significant contribution. NB: Champion activities are events that could be organized yearly for giving rewards and recognitions for individuals and groups for those who apply good practices for forest protection/conservation/reforestation	
NCFI OUTCOME	Improved rights and livelihoods for indigenous people and local communities in tropical forests												
	Area of forest in ha conserved	TBC	2500	6000	10000	16000	20000	Accumulated	Evaluation reports, Inventory reports	Surveys, Satellite data's	Upholding and maintaining the natural resource material in the forests and forest areas like creeks, valleys and communal that can connect communities.	NCA , Implementing partners and target communities	
	Area of land in ha rehabilitated by afforestation/ reforestation	0		270	630	1020	1350	Accumulated	Evaluation reports, Inventory reports	Surveys, Satellite data's	Rehabilitated lands can be defined in terms of ha of land covered with trees , change from degraded land in to forest lands (LUIC change) , change in tree density and canopy cover, observed increment in water source like springs , reduced runoff etc. , change in soil depth and change top soil organic matter content. In addition, there is an ISO standard for land rehabilitation (which was developed by the United Nations Convention to Combat Desertification - UNCCD). During the baseline study period, we will investigate the relevance of the ISO standards and consider it.		

PROJECT OUTCOME 3	Forests are conserved and green corridors are established	Area of land established as green corridors		630	1470	2380	3150	Accumulated	Evaluation reports, inventory reports	Surveys, Satellite data's	The 'green corridor' concept is developed to connect isolated forest or natural habitat patches with a territorial extension of various sizes and shapes, whose main function is to link protected or important areas to permit both migration and the dispersal of floral and faunal species.
		Area of land (ha) under sustainable land management	TBD	750	4250	6250	8750	Accumulated	Survival rate inventory reports,	Surveys, FGD, Interviews, monitoring checklists	THE UNITED NATIONS DECLINES SUSTAINABLE LAND MANAGEMENT (SLM) as "the use of land resources, including soils, water, animals and plants, for the production of goods to meet changing human needs, while simultaneously ensuring the long-term productive potential of these resources and the maintenance of their environmental functions". Terr Africa (2005) defines SLM as "the adoption of land-use systems that through appropriate management practices enable land users to maximize the economic and social benefits from the land while maintaining or enhancing the ecological support functions of the land resources". NB: The Terr Africa process is a partnership between FAO, the World Bank, the New Partnership for African Development (NEPAD)
PROJECT OUTPUT 3.1	Degraded land and community forests have obtained land certification for rehabilitation and conservation	# of sacred forests that have obtained land certifications	0	2	6	9	15		Certificates, Evaluation reports	Surveys, FGDs, Interviews	Sacred forests are the ones considered to be holy or of religious importance, while community forests are either adjacent forest or other forest resources.
		# of community forests that have obtained land certifications		5	8	15	24		Certificates; Progress and Monitoring reports	Surveys, FGDs, Interviews	Community forest is defined as a forest managed , controlled and protected by a community through a participatory forest management system
PROJECT OUTPUT 3.2	Communities have implemented sustainable forest and land management of sacred forests and community forests	# of communities with local by-laws supporting forest conservation and watershed management						Accumulated	Project Periodic activity reports, Monitoring reports	Surveys, FGD, Interviews, monitoring checklists	Governance and management system on sacred forests. Produce manuals and guidelines on forest protection and watershed management.
		# of trees planted in communal land	TBD	3.5M	10.5M	17.5M	24.5M	Accumulated	Survival rate inventory reports,	Surveys, FGD, Interviews, monitoring checklists	Tree planting and enrichment plantations.
		# of trees planted in sacred forests	TBD	1.5M	4.5M	7.5M	10.5M	Accumulated	Survival rate inventory reports,	Surveys, FGD, Interviews, monitoring checklists	Tree planting and enrichment plantations.

	Ha of land rehabilitated as a result of physical and biological Soil and Water Conservation structures	TBC	1000	2500	3800	4500	Accumulated	Project Periodic activity reports, Monitoring reports	Surveys, FGD Interviews, monitoring checklists	Soil and water conservation are those activities at the local level which maintain or enhance the productive capacity of the land including soil, water, and vegetation in areas prone to degradation through prevention or reduction of soil erosion, compaction, salinity; conservation or drainage of water and maintenance or improvement of soil fertility (WOCAT, 2007).	NCA and Implementing partners
PROJECT OUTPUT 3.3	Communities and faith institutions have the capacity and ability to monitor, alert and report on activities related to deforestation and land conversion	# of community members that have received training on forest monitoring tools	180 (90 F, 90 M)	540 (270 F, 270 M)	720 (360 F, 360 M)	900 (450 F, 450 M)	Accumulated	Training reports	Surveys, FGD, Interviews, monitoring checklists	This is an important activity to ensure sustainability of the project. Initiate cooperation with NICFI's Global Forest Watch's satellite imagery.	NCA and Implementing partners
		# of local government and faith institution staff trained in forest monitoring tools such as drones, satellite images, remote sensing and GIS (gender disaggregated)	80 (40F, 40M)		160(80F)		Accumulated	Project Periodic activity reports, Monitoring reports	surveys, FGD, Interviews, monitoring checklists	Train community task forces in data collection.	
PROJECT OUTCOME 4	Communities have improved livelihoods without adding pressure on the forest resources	# of women and men that have significantly increased income without adding pressure on the forest resources	3600 (1800 F, 1800 M)	10000 (5000 F, 5000 M)	12800 (6400 F, 6400 M)	15,000 (7500 F, 7500 M)	Accumulated	Evaluation reports, National government reports	Surveys, FGDs, Interviews. Data on annual income of target HHs comparing baseline with end line.	Developing livelihood by methods that don't add pressure on the forest resource.	Implementing partners , target communities
		# of women and men that are linked with inclusive market	3600 (1800 F, 1800 M)	10000 (5000 F, 5000 M)	12800 (6400 F, 6400 M)	15,000 (7500 F, 7500 M)	Accumulated	Evaluation reports, National government reports	Surveys, FGDs, Interviews. Data on annual income of target HHs comparing baseline with end line.	The forest dependent communities will be engaged in CSA and other livelihood activities and linked with markets. This will help to reduce the pressure on forest and increase their income.	
		# of food producers with significant increase in productivity per unit of land	2000 (1000 F, 1000 M)	8500 (4250 F, 4250 M)	12800 (6400 F, 6400 M)	15,000 (7500 F, 7500 M)	Accumulated	Project activity reports, evaluation reports,	Surveys, FGD, Interviews, monitoring checklists	Increased productivity per unit land will reduce pressure on existing farmlands and decrease the need to expand production into new forest areas. Our experience is that by applying CSA practices in Ethiopia the productivity increases by average of 50% and that the yield output is more resilient to extended periods of drought and El Niño. We will define what is "significant" when we do the baseline.	

PROJECT OUTPUT 4.1	Communities are generating livelihoods from sustainable production and NTFP[1]	# of food producers applying climate smart agriculture (CSA) (gender disaggregated)	TBC	2000 (1000 F, 1000 M)	5000 (2500 F, 2500 M)	8500 (4250 F, 4250 M)	12800 (6400 F, 6400 M)	15,000 (7500 F, 7500 M)	Accumulated	Project activity reports, evaluation reports	Surveys, FGD, Interviews, monitoring checklists	CSA techniques will be demonstrated in field trials and basic training and supply line of inputs created.	Implementing partners, target communities
		# of forest-dependent HHs engaged in alternative livelihood options	TBD	300	1000	2500	4000	5000	Accumulated	Evaluation reports, National government reports	Surveys, FGDs, Interviews measuring livelihood activities at baseline and end line.	The livelihoods options includes: beekeeping, multipurpose private tree nursery, alternative energy and eco-house construction and non-wood forest products (e.g coffee, spice etc) .	
		# men and women that have significantly increased income from alternative livelihood options	TBC	-	700 (350 F, 350 M)	1800 (900 F, 900 M)	2900 (1450 F, 1450 M)	3800 (1900 F, 1900 M)	Accumulated	Project activity reports, evaluation reports	Surveys, FGD, Interviews, monitoring checklists	The livelihoods options includes: beekeeping, multipurpose private tree nursery, alternative energy and eco-house construction and non-wood forest products (e.g. coffee, spice etc.) .	
		# of saving and loan groups established and functioning	TBD		50	180	250	380	Accumulated	Project Periodic activity reports	Surveys, FGD, Interviews, monitoring checklists	This can be defined in terms of increased in membership, members' actual deposit, and loan facilities (including cash dispersed) performed by cooperatives.	Implementing partners, target communities
PROJECT OUTPUT 4.2	Financing opportunities are made available for community members	# RHs with access to risk insurance by the community Care Coalition Fund (gender disaggregated)	TBC	200 (100 F, 100 M)	200 (100 F, 100 M)	1000 (500 F, 500 M)	2800 (1400 F, 1400 M)	5900 (2950 F, 2950 M)	Accumulated	Project Periodic activity reports	Surveys, FGD, Interviews, monitoring checklists	The community care coalition to develop an insurance policy where RHs with land can risk insure their yield. Community resilience funds for managing natural disasters are created.	
		# of RHs having accessed funding from micro finance institutions (gender disaggregated)	TBC	400 (300 F, 300 M)	400 (300 F, 300 M)	1440 (1000 F, 1000 M)	2000 (1250 F, 1250 M)	3040 (1500 F, 1500 M)	Accumulated	Project Periodic activity reports	Surveys, FGD, Interviews, monitoring checklists	Asses and assist in linking RHs to micro finance institutions	
PROJECT OUTPUT 4.3	Functional disaster risk management mechanisms are in place	# of communities with functional disaster risk management mechanisms in place protecting livelihoods	TBC	40	40	80			Accumulated	Project Periodic activity reports, Monitoring reports	Surveys, FGD, Interviews, monitoring checklists	Community based disaster risk mitigation is performing a vulnerability assessment and implement risk mitigation measures for the communities.	Implementing partners, target communities and local government offices
		# of faith institutes, farmers training centers, production units and nurseries that have reduced consumption of wood because they have adopted renewable energy for lighting systems, irrigation and education/ information sessions)	0	25	100	225	300	400	Accumulated	Project Periodic activity reports, Monitoring reports	Surveys, FGD, Interviews, monitoring checklists	Leapfrogging fossil energy by equipping and training the projects infrastructure will have multipurpose benefits. This will be a venture with a private actor to ensure competitive rates which is key for adoption rates on individual level. NCA will collaborate with private sector partners on this output. We will build on a collaboration with energy provider W.Giertsen Energy Solutions for know-how and energy solutions.	Implementing partners, target communities and other stakeholders

PROJECT OUTPUT 4.4	Communities are using technologies such as renewable energy and alternative building material	# of HHs that after project start have reduced consumption of wood because they have adopted sustainable house construction technologies	TBC		150	400	700	800	Accumulated	Project Periodic activity reports, Monitoring reports	Surveys, FGD, Interviews, monitoring checklists	NCA will collaborate with private sector partners on this output. We will introduce the adobe house technique by Solid Earth Africa. Efficient cooking stoves are integrated in these houses.	
		Percentage decrease in wood consumption in the target community	TBC	-	10	20	35	50	Accumulated	Project Periodic activity reports, Monitoring reports	Surveys, FGD, Interviews, monitoring checklists	The project will introduce technologies for reducing wood consumption such as reduction in fuel wood consumption, charcoal consumption and reduction in using woods for construction of houses by introducing alternative energy and ECO Friendly building materials. The wood consumption decreases with increased usage of energy saving stoves and adoption of eco housing in the target community	
PROJECT OUTCOME 5	Project lessons and learnings shared and practiced at local and global level	# of lessons and learnings practiced by the community members	0	2	6	9	12	16	Accumulated	Evaluation reports, Conferences reports and minutes	Surveys, FGDs, Interviews, KII	The project will be a platform for exchanging information, knowledge management and promotion of best practices about the church forest methodology that can benefit a multitude of stakeholders and accelerate forest preservation and reforestation. In the reporting it can be disaggregated the experiences and learnings shared from the project take place in the global and local level.	NCA , Implementing partners and target communities
		# of communities and development agencies that have adopted the church forest methodology	0	5	15	30	35	40	Accumulated	Evaluation reports, Conferences reports and minutes	Surveys, FGDs, Interviews, KII	Development agencies in this context are organizations working on the forest development and protection initiatives, natural resources management and environmental protections, biodiversity conservation etc. the report will be disaggregated in the reporting by development agencies and community members.	
PROJECT OUTPUT 5.1	Knowledge sharing has taken place among faith institutions and among forest communities	# of information sharing and exchange visits among faith institutions organized	0	2	4	6	8	10	Accumulated	Evaluation reports, Conferences reports and minutes	Surveys, FGDs, Interviews, KII	There will be exchange visits between churches and communities of the ongoing NICFI funded Ethiopia Church Forest Initiative and communities of faith participating in this project.	NCA , Implementing partners and target communities
		# of information sharing and exchange visits among forest communities organized	0	2	4	6	8	10	Accumulated	Project Periodic activity reports, Monitoring reports, Media tracking reports	Surveys, FGD, Interviews, monitoring checklists		

